

DOLLY

### UNITED STATES EPARTMENT OF COMMERCE

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR

08/750,101 05/01/97

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ATTORNEY DOCKET NO.

HM12/0429

EXAMINER

CARLOS O.FISHER LEGAL DEPARTMENT, T2-2E ALLERGAN, INC.

MINNIFIELD, N

ALLERGAN, INC. P. O.BOX 19534,2525 DUPONT DRIVE. ART UNIT PAPER NUMBER

IRNINE CA 92623-9534

1645

18

~ 054CP1

DATE MAILED:

04/29/99

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 



### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT		ATTORNEY DOCKET NO.
08/750101	005/01/97	DOLLY ET AL		17044CPA(AP)
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				EXAMINER
			MINNIFIELD	
			ART UNIT	PAPER NUMBER
			1645	18
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#### **Commissioner of Patents**

Please find below a communication from the EXAMINER in charge of this application.

1. The reply filed March 19, 1999 is not fully responsive to the communication mailed February 9, 1999 for the reasons set forth on the attached Notice To Comply With The Sequence Rules or CRF Diskette Problem Report.

Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of **ONE (1) MONTH** or **THIRTY (30) DAYS**, from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME LIMIT MAY BE GRANTED UNDER 37 CFR 1.136(a).

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to N. M. Minnifield whose telephone number is (703) 305-3394. The examiner can normally be reached on Monday-Thursday from 7:00 AM-4:30 PM. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Caputa, Ph.D., can be reached on (703) 308-3995. The fax phone number for this Group is (703) 308-4556.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0196.

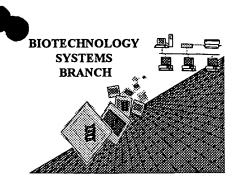
N. M. Minnifield April 26, 1999

substitute PTOL90

April 26, 1999

Minnifield

# RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following CRF diskette:

Application Serial Number: 08/1/30, 104/17

Art Unit / Team No.: 1645

Date Processed by STIC: 3/30/99

THE ATTACHED PRINTOUT EXPLAINS THE ERRORS DETECTED.

PLEASE BE SURE TO FORWARD THIS INFORMATION TO THE APPLICANTS BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANTS ALONG WITH A NOTICE TO COMPLY or,
- 2) CALLING APPLICANTS AND FAXING THEM A COPY OF THE PRINTOUT WITH A NOTICE TO COMPLY

THIS WILL INSURE THAT THE NEXT SUBMISSION RECEIVED FROM THEM WILL BE ERROR FREE.

IF YOU HAVE ANY FURTHER QUESTIONS, PLEASE CALL:

ARTI SHAH 703-308-4212

## Raw Sequence Listing Error Summary

ERROR DETECTED SUGGESTED CORRECTION SERIAL NUMBER: 08/750, 10/A

ATTN	I: NEW RULES CASES: I	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
1		The number/text at the end of each line "wrapped" down to the next line.  This may occur if your file was retrieved in a word processor after creating it.  Please adjust your right margin to .3, as this will prevent "wrapping".
2	_ Wrapped Aminos	The amino acid number/text at the end of each line "wrapped" down to the next line.  This may occur if your file was retrieved in a word processor after creating it.  Please adjust your right margin to .3, as this will prevent "wrapping".
3	Incorrect Line Length	The rules require that a line not exceed 72 characters in length. This includes spaces.  All text must be visible on page.
4	Misaligned Amino Acid Numbering	The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and uses spacing between the numbers.
5	Non-ASCII	This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.  Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
6	_ Variable Length	Sequence(s) contain n's or Xaa's which represented more than one residue.  As per the rules, each n or Xaa can only represent a single residue.  Please present the maximum number of each residue having variable length and indicate in the (ix) features section that some may be missing.
7	Wrong Designation	Sequence(s) contain amino acid or nucleic acid designators which are not standard representations as per the Sequence Rules (Please refer to paragraph 1.822)
8	Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please use the following format for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X:  (i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:  This sequence is intentionally skipped
		Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
9	Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please use the following format for each skipped sequence.  <210> sequence id number  <400> sequence id number  000
o	Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Use of <220> to <223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
1—	Use of <213>Organism (NEW RULES)	Sequence(s) are missing this mandatory field or its response.  13 (maybe other)
<u>J</u>	Use of <220>Feature (NEW RULES)	Sequence(s) are missing the <220>Feature and associated headings.  Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"  Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32)  (Sec. 1.823 of new Sequence Rules)
3	Patentin ver. 2.0 "bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other means to copy file to floppy disk.

minus field

1645

PAGE: 1

RAW SEQUENCE LISTING
PATENT APPLICATION US/08/750,101A

DATE: 03/30/1999

TIME: 14:27:53

Input Set: H750101A.RAW

This Raw Listing contains the General Information Section and those Sequences containing ERRORS.

```
Corrected Diskette Needed
    <110> Dolly, James Oliver
          Aoki, Roger Kei
          Wheeler, Larry
3
          Elwood, Micheal
4
   <120> MODIFICATION OF CLOSTRIDIAL TOXINS FOR
5
          USE AS TRANSPORT PROTEINS
6
7 <130> 17044
   <140> US/08/750,101A
8
   <141> 1997-05-01
   <150> PCT/GB/01253
10
11 <151> 1995-05-31
   <150> UK 9410871.9
12
    <151> 1994-05-31
   <150> UK 9410871.1
   <151> 1994-05-31
   <160> 13
16
   <170> FastSEQ for Windows Version 3.0
```

### ERRORED SEQUENCES FOLLOW

18	<210> 13
19	<211> 26 <212> DNA  Sel Nen 12 on Euro Summary Steet
20	<212> DNA
21	<213 Artificial Sequence
22	<400> 13
23	cgggatcctt ctgtatcatt gtaaat 26
24	Docket No. ALGRN.054CP1 PATENT
25	) delate at a dala
26	serial no. 08/750101 delete at end of file
27	3
	<b>A</b> .
	C. M.
	19 20 21 22 23 24 25 26

Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

PAGE:



VERIFICATION SUMMARY
PATENT APPLICATION US/08/750,101A

Input Set: H750101A.RAW

DATE: 03/30/1999

TIME: 14:27:53

Line	? Erro	or/Warning	Original Text
19 24 24 24 25 26 26 26 26 26 26 26	E Inpo E Wro E Wro E Wro W Inv E Wro E Wro E Wro E Wro E Wro E Wro E Wro E Wro	ut 26, Calc# Bases 36 differ  ng Amino Acid Designator  ng Amino Acid Designator  ng Amino Acid Designator  ng Amino Acid Designator  alid/Missing Amino Acid Numbering  ber of Bases conflict w/ Running Total  ng Nucleic Acid Designator  ng Nucleic Acid Designator	<pre></pre>

PAGE: 3

CORRECTION SUMMARY DATE: 03/30/1999
PATENT APPLICATION US/08/750,101A TIME: 14:27:53

Input Set: H750101A.RAW

Line Original Text

Corrected Data

26 Serial No. 08/750,101

serial no. 08/750101